

Germany – Financial consultancy services – NON-STATUTORY AUDIT WORKS AND SERVICES AND QUALITY ASSURANCE WORKS AND SERVICES ON ASSET QUALITY REVIEWS

OJ S 139/2024 18/07/2024

Contract or concession notice – standard regime

Works - Services

1. Buyer**1.1. Buyer**

Official name: European Central Bank

Email: procurement@ecb.europa.eu

Legal type of the buyer: EU institution, body or agency

Activity of the contracting authority: Economic affairs

2. Procedure**2.1. Procedure**

Title: NON-STATUTORY AUDIT WORKS AND SERVICES AND QUALITY ASSURANCE WORKS AND SERVICES ON ASSET QUALITY REVIEWS

Description: Provision of i) non-statutory audit works and services (Lot 1), and ii) quality assurance works and services on asset quality reviews ('AQR') (Lot 2).

Procedure identifier: 1bfaa546-365e-4313-8d2b-bacdca17dfe3

Internal identifier: PRO-008707

Type of procedure: Open

The procedure is accelerated: no

Main features of the procedure: The tender procedure shall be open on equal terms to all natural or legal persons resident or located in the European Union and to all natural and legal persons resident or located in a country which has ratified the World Trade Organisation Agreement on Government Procurement or has concluded with the European Union a bilateral agreement on procurement under the conditions laid down in the said agreements. The tender procedure is conducted in accordance with Decision ECB/2016/2 laying down the rules on procurement (as amended), available at <https://www.ecb.europa.eu/ecb/legal/1001/procurement/html/index.en.html> And in EUR-Lex: <https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:02016D0002-20200501>. The detailed features and terms and conditions of the procedure can be found in the procurement documents.

2.1.1. Purpose

Main nature of the contract: Services

Additional nature of the contract: Works

Main classification (cpv): 66171000 Financial consultancy services

Additional classification (cpv): 79212100 Financial auditing services

2.1.2. Place of performance

Postal address European Central Bank Sonnemannstrasse 22

Town Frankfurt am Main

Postcode 60314

Country subdivision (NUTS): Frankfurt am Main, Kreisfreie Stadt (DE712)

Country: Germany

2.1.3. Value

Estimated value excluding VAT: 120 000 000,00 EUR

Maximum value of the framework agreement: 120 000 000,00 EUR

2.1.4. General information

Additional information: This tender procedure is conducted via the ECB's electronic tendering system (e-tendering) which can be accessed via the following internet address: <http://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html> If you have not used the ECB's electronic tendering system before, you need to register via the above link (click on 'Register').

Subsequently to the registration, you will receive an automated notification with your user credentials to log in (click on 'Login') and to access the tender documentation. Please note that: — A registration to the above system is only required once and you can reuse your user credentials in all future procedures of the ECB which are conducted via the above system. Therefore, please keep your user credentials for the future; — In case you should have participated in previous ECB tender procedure(s) in the past which were conducted via the ECB's prior online system, you nevertheless need to register once to the ECB's electronic tendering system under the above address; — Your mere registration does not constitute an application/tender. You must submit your application/tender via the ECB's electronic tendering system as further instructed in the application/tender documentation. The system reference number for the above procurement is: [RFX-PT-2024-000040] . To download the tender documents, log on to the ECB's electronic tendering system with your login and password. Expand the field 'Public Tenders – Upcoming Events'. Click on 'Click to request an invitation to this event' for the above procedure and close the new window which opens. Once the request is completed (this can take a few minutes), the status of the participation request will change to 'Invited' and the RFX documentation will be accessible via the RFX tab. Under the above link you also find user guides for detailed information on how to use the system and contact details for technical support. Should you require technical help when using the system, please contact the ECB's technical support team at sourcing.support@ecb.europa.eu by quoting the reference number and the problem experienced. Any other queries relating to this procurement procedure and the content of the procurement documentation shall be submitted as message via the ECB's e-tendering system (under the tab 'Discussion'). The ECB endeavours to answer all queries as quickly as possible but cannot guarantee a minimum time response. The ECB shall not be bound to reply to queries received less than seven calendar days before the time-limit for the submission of tenders.

Legal basis:

Other

<http://data.europa.eu/eli/dec/2016/245/2020-05-01> - Consolidated text: Decision (EU) 2016 /245 of the European Central Bank of 9 February 2016 laying down the rules on procurement (ECB/2016/2) (recast)

2.1.5. Terms of procurement

Terms of submission:

Maximum number of lots for which one tenderer can submit tenders: 2

Terms of contract:

Maximum number of lots for which contracts can be awarded to one tenderer: 2

2.1.6. Grounds for exclusion

Purely national exclusion grounds: Based on Art. 30 of the ECB Procurement rules (Decision ECB/2016/2, as amended)

5.1. Lot: LOT-0001

Title: Non-Statutory Audit works and services

Description: The ECB intends to select 8 highly qualified external service providers, if available, that have at their disposal an experienced pool of professionals, who efficiently and effectively can support the ECB in the field of in the following areas in view of provisioning of non-statutory audit works and services or similar prudential analysis, and determining the adjusted CET1 ratio: Processes, policies and accounting review; Review carrying value of bank's asset; Credit File Review (CFR) and creditworthiness assessment; Credit File Review (CFR) and creditworthiness assessment; Collective Provisioning and modelling of challenger /internal models; Collateral evaluation; Data management and IT programming; International Financial Reporting Standards (IFRS); Fair Value Exposures Review; EU Prudential Regulation. The provision of the Services shall follow the methodology described in the most recent version of the AQR Phase 2 Manual: <https://www.bankingsupervision.europa.eu/ecb/pub/pdf/ssm.assetqualityreviewmanual202305~061b0b5fd0.en.pdf>

Internal identifier: Lot 1

5.1.1. Purpose

Main nature of the contract: Services

Additional nature of the contract: Works

Main classification (cpv): 66171000 Financial consultancy services

Additional classification (cpv): 79212100 Financial auditing services

Options:

Description of the options: The contract is subject to renewal. The contract can be extended up to 12 additional months.

5.1.3. Estimated duration

Duration: 36 Months

5.1.6. General information

Reserved participation: Participation is not reserved.

Procurement Project not financed with EU Funds.

The procurement is covered by the Government Procurement Agreement (GPA): no

5.1.9. Selection criteria

Criterion:

Type: Other

Name: Professional, Financial, and Technical Capacity criteria

Description: As specified in the procurement documentation

Use of this criterion: Used

5.1.10. Award criteria

Criterion:

Type: Quality

Description: Quality of the technical offer

Weight (percentage, exact): 50

Criterion:

Type: Price

Description: Financial offer

Weight (percentage, exact): 50

5.1.11. Procurement documents

Access to certain procurement documents is restricted

Languages in which the procurement documents are officially available: English

Information about restricted documents is available at: <https://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>

Ad hoc communication channel:

Name: ECB e-tendering platform

URL: <https://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>

5.1.12. Terms of procurement

Terms of submission:

Electronic submission: Required

Address for submission: <https://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>

Languages in which tenders or requests to participate may be submitted: English

Electronic catalogue: Not allowed

Deadline for receipt of tenders: 17/09/2024 12:00:00 (UTC+2)

Deadline until which the tender must remain valid: 9 Months

Terms of contract:

The execution of the contract must be performed within the framework of sheltered employment programmes: No

Electronic invoicing: Required

Electronic ordering will be used: yes

Electronic payment will be used: yes

Information about review deadlines: Within 10 days of receipt of the notification in accordance with Article 34(1) or the first sentence of Article 34(3) of Decision ECB/2016/2 laying down the rules on public procurement. Further requirements are outlined in Article 39 of this Decision. A complaint to the European Ombudsman does not affect the deadline for lodging appeals.

5.1.15. Techniques

Framework agreement:

Framework agreement, partly without reopening and partly with reopening of competition

Maximum number of participants: 8

Information about the dynamic purchasing system: No dynamic purchase system

5.1.16. Further information, mediation and review

Mediation organisation: The European Ombudsman

Review organisation: European Central Bank

Organisation providing more information on the review procedures: European Central Bank

5.1. Lot: LOT-0002

Title: Quality Assurance Works and Services on AQR

Description: The ECB intends to select 8 highly qualified external service providers, if available, that have at their disposal an experienced pool of professionals, who efficiently and effectively can support the ECB in the field of in the following areas in view of Quality Assurance works and services on AQRs: Processes, policies and accounting review; Review carrying value of bank's asset; Credit File Review (CFR) and creditworthiness assessment; Credit File Review (CFR) and creditworthiness assessment; Collective Provisioning and modelling of challenger/internal models; Collateral evaluation; Data management and IT

programming; International Financial Reporting Standards (IFRS); Fair Value Exposures Review; EU Prudential Regulation . The Contractor shall work independently but in close coordination with the ECB staff in conducting the relevant QA Deliverables on each WB 1-9 of the AQR or parts of similar prudential analysis . The provision of the Services shall follow the methodology described in the most recent version of the AQR Phase 2 Manual: <https://www.bankingsupervision.europa.eu/ecb/pub/pdf/ssm.assetqualityreviewmanual202305~061b0b5fd0.en.pdf>

Internal identifier: Lot 2

5.1.1. Purpose

Main nature of the contract: Services

Additional nature of the contract: Works

Main classification (cpv): 66171000 Financial consultancy services

Additional classification (cpv): 79212100 Financial auditing services

Options:

Description of the options: The contract is subject to renewal. The contract can be extended up to 12 additional months.

5.1.3. Estimated duration

Duration: 36 Months

5.1.6. General information

Reserved participation: Participation is not reserved.

Procurement Project not financed with EU Funds.

The procurement is covered by the Government Procurement Agreement (GPA): no

5.1.9. Selection criteria

Criterion:

Type: Other

Name: Professional, Financial, and Technical Capacity criteria

Description: As specified in the procurement documentation

Use of this criterion: Used

5.1.10. Award criteria

Criterion:

Type: Quality

Description: Quality of the technical offer

Weight (percentage, exact): 50

Criterion:

Type: Price

Description: Financial offer

Weight (percentage, exact): 50

5.1.11. Procurement documents

Access to certain procurement documents is restricted

Languages in which the procurement documents are officially available: English

Information about restricted documents is available at: <https://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>

Ad hoc communication channel:

Name: ECB e-tendering platform

URL: <https://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>

5.1.12. Terms of procurement

Terms of submission:

Electronic submission: Required

Address for submission: <https://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>

Languages in which tenders or requests to participate may be submitted: English

Electronic catalogue: Not allowed

Deadline for receipt of tenders: 17/09/2024 12:00:00 (UTC+2)

Deadline until which the tender must remain valid: 9 Months

Terms of contract:

The execution of the contract must be performed within the framework of sheltered

employment programmes: No

Electronic invoicing: Required

Electronic ordering will be used: yes

Electronic payment will be used: yes

Information about review deadlines: Within 10 days of receipt of the notification in accordance with Article 34(1) or the first sentence of Article 34(3) of Decision ECB/2016/2 laying down the rules on public procurement. Further requirements are outlined in Article 39 of this Decision. A complaint to the European Ombudsman does not affect the deadline for lodging appeals.

5.1.15. Techniques

Framework agreement:

Framework agreement, partly without reopening and partly with reopening of competition

Maximum number of participants: 8

Information about the dynamic purchasing system: No dynamic purchase system

5.1.16. Further information, mediation and review

Mediation organisation: The European Ombudsman

Review organisation: European Central Bank

Organisation providing more information on the review procedures: European Central Bank

8. Organisations

8.1. ORG-0004

Official name: European Central Bank

Registration number: 0001

Postal address: Sonnemannstrasse 22

Town: Frankfurt am Main

Postcode: 60314

Country subdivision (NUTS): Frankfurt am Main, Kreisfreie Stadt (DE712)

Country: Germany

Contact point: Central Procurement Office

Email: procurement@ecb.europa.eu

Telephone: +49 69 13440

Internet address: <http://www.ecb.europa.eu>

Buyer profile: <https://www.ecb.europa.eu/ecb/jobsproc/tenders/html/index.en.html>

Other contact points:

Official name: Procurement Review Body of the European Central Bank, c/o Legal Advice Team

Postal address: Sonnemannstrasse 22
Town: Frankfurt am Main
Postcode: 60314
Country subdivision (NUTS): Frankfurt am Main, Kreisfreie Stadt (DE712)
Country: Germany
Contact point: Legal Advice Team
Email: LegalServices@ecb.europa.eu
Telephone: +49 6913440
Fax: +49 6913446886
Internet address: <http://www.ecb.europa.eu>

Roles of this organisation:

Buyer
Review organisation
Organisation providing more information on the review procedures

8.1. ORG-0006

Official name: The European Ombudsman
Registration number: 0002
Postal address: 1 avenue du Président Robert Schuman, CS 30403
Town: Strasbourg
Postcode: 67001
Country subdivision (NUTS): Bas-Rhin (FRF11)
Country: France
Contact point: The European Ombudsman
Email: eo@ombudsman.europa.eu
Telephone: +33 3 88 17 23 13
Internet address: <https://www.ombudsman.europa.eu>

Roles of this organisation:

Mediation organisation

11. Notice information

11.1. Notice information

Notice identifier/version: 29a2f724-abcc-410f-a94e-d99441d09127 - 01
Form type: Competition
Notice type: Contract or concession notice – standard regime
Notice subtype: 16
Notice dispatch date: 17/07/2024 11:05:51 (UTC)
Languages in which this notice is officially available: English

11.2. Publication information

Notice publication number: 433076-2024
OJ S issue number: 139/2024
Publication date: 18/07/2024